

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No: 14677-003

Applicant(s): Klaus Giese *et al.*

Confirmation No.: 6369

Serial No.: 10/633,630

Examiner: Kimberly Chong

Filing Date: August 5, 2003

Group Art Unit: 1635

Title: INTERFERING RNA MOLECULES

DECLARATION UNDER 37 C.F.R § 1.131

We, Klaus Giese, Anke Klippel-Giese and Jörg Kaufmann, do hereby declare as follows:

1. We understand that the claims in the captioned application have been rejected over U.S. patent application 2003/0190635, which lists February 20, 2002 as the earliest priority date, and U.S. patent application 2005/0142535, which lists February 1, 2002 as the earliest priority date.

2. We submit this declaration, based on our personal knowledge to demonstrate that the invention claimed in the captioned application was completed prior to February 2002 and therefore prior to the earliest prior art date of either U.S. patent application 2003/0190635, or 2005/0142535. All dates on the attached Exhibits have been masked out.

3. The delivery receipt attached as EXHIBIT 1 shows that two complementary oligoribonucleotide molecules, 94A2 and 94B1 were synthesized prior to February 1, 2002. 94A2 is the antisense strand and 94B1 is the sense strand. These molecules are described in the specification of the captioned application in Figure 15 where they are shown as PTENA V15 and PTENB V15.

4. In the delivery receipt, the lower case letters a, u, c, and g indicate the conventional unmodified ribonucleotides adenosine, uracil, cytosine, and guanosine, respectively. The number 5 indicates 2'O-methyl-ribo U, number 6 indicates 2'O-methyl-ribo A, number 7 indicates 2'O-

methyl-ribo C, and number 8 indicates 2'-O-methyl-ribo G. Accordingly, the sequences of the two oligoribonucleotides are:

94A2: 5'-CuCcUuUuGuUuCuGcUaAcG-3' and

94B1: 5'-cGuUaGcAgAaAcAaAaGgAg-3'.

In these sequences a lower case letter indicates the unmodified nucleotide and the upper case indicates the 2'-O-methyl nucleotide. The nucleosides are linked by phosphodiester bonds.

5. Comparison of the sequences of 94A2 and 94B1 shows that they are both 21 nucleotides long, are complementary and have contiguous alternating 2'-O-methyl modified and single unmodified ribonucleotides, where a modified ribonucleotide on one strand is base paired with an unmodified ribonucleotide on the second strand and vice versa. 94A2 is complementary to a part of the PTEN gene. When 94A2 and 94B1 are combined, the resulting double stranded molecule is blunt ended.

6. Prior to February 1, 94A2 and 94B1 were combined to form a double stranded molecule designated 94A2/94B1 and demonstrated to inhibit expression of PTEN as shown in EXHIBIT 2. The results shown in EXHIBIT 2 were recorded prior to February 1, 2002.

7. EXHIBIT 2 shows the results of an experiment on the ability of RNAi molecules to inhibit PTEN expression in HeLaB cells. PTEN mRNA was measured using quantitative real time PCR analysis and was normalized to p110a mRNA as control. The bar graph in EXHIBIT 2 shows the results obtained with various double stranded RNA molecules. The 80AB molecule was an unmodified blunt siRNA molecule, and represented a positive control. The 79AB molecule was a completely 2'-O-methyl modified siRNA molecule and served as a negative control. All molecules were tested at four different concentrations: 40 nM, 10 nM, 5 nM, 2.5 nM.

8. As can be seen from the data, molecule 94A2/94B1 shows activity that is very similar to the positive control 80AB, demonstrating that the molecule was effective at reducing PTEN mRNA expression in cells.

9. We hereby declare that all statements made herein of our own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Feb 10, 2008


Date

Feb 10, 2008

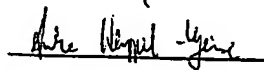
Date

Feb. 08/2008

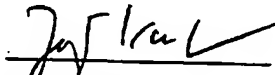
Date



Klaus Giese



Anke Klippel-Giese



Jörg Kaufmann

EXHIBIT 1 of
EXHIBIT A

Liefererscheinung delivery note
 Oligonukleotidservice
 Tel: 069 4109 2563
 Fax: 069 4109 2564
 mail: info@biospring.de

Jörg Kaufmann						
atugen AG / Haus 80						
Robert-Rössle-Str.10						
D-13125 Berlin						
Ihre Bestnr. Lieferscheinr. 3925			Kundennummer 10003 Bestellung vom [REDACTED]			
1 94A1						
5'-c5c 7u5 u5g 5u5 c5g 7u6 a7g-3'						
IDO	16893	OD	12,9 bei	260 nm	Länge	21-mer
Scale	0,2 µmol	Menge		35361 pmol	Molekular Gew.	5348,20
Reinigung	Modifikation			35 nmol	GC Gehalt	29 %
DNA-Typ	DNA			189 µg	Tm (GC)	39 °C
					ε (mM)	364,810
Zusammensetzung		A=1,0	C=3,0	G=3,0	T=4,0	Modi=10,0
Mod. 5'		Mod. 5 2'OMe-rU		Mod. 7 2'OMe-rC		
Mod. 3'		Mod. 6 2'OMe-rA		Mod. 8		
2 94A2						
5'-7u7 c5u 5u8 u5u 7u8 c5a 6c8-3'						
IDO	16894	OD	33,4 bei	260 nm	Länge	21-mer
Scale	0,2 µmol	Menge		88514 pmol	Molekular Gew.	4799,86
Reinigung	Modifikation			89 nmol	GC Gehalt	14 %
DNA-Typ	DNA			425 µg	Tm (GC)	34 °C
					ε (mM)	377,340
Zusammensetzung		A=1,0	C=3,0	G=0,0	T=6,0	Modi=11,0
Mod. 5'		Mod. 5 2'OMe-rU		Mod. 7 2'OMe-rC		
Mod. 3'		Mod. 6 2'OMe-rA		Mod. 8 2'OMe-rG		
3 94B1						
5'-c8u 5a8 c6g 6a6 c6a 6a8 g6g-3'						
IDO	16910	OD	18,9 bei	260 nm	Länge	21-mer
Scale	0,2 µmol	Menge		56445 pmol	Molekular Gew.	6523,12
Reinigung	Modifikation			56 nmol	GC Gehalt	29 %
DNA-Typ	DNA			368 µg	Tm (GC)	39 °C
					ε (mM)	334,840
Zusammensetzung		A=4,0	C=3,0	G=3,0	T=1,0	Modi=10,0
Mod. 5'		Mod. 5 2'OMe-rU		Mod. 7		
Mod. 3'		Mod. 6 2'OMe-rA		Mod. 8 2'OMe-rG		

4		94B2				
5'-7g5 u6g 7a8 a6a 7a6 a6g 8a8-3'						
IDO	16911	OD	32,7 bei	260 nm	Länge	21-mer
Scale	0,2 µmol	Menge		96665 pmol	Molekular Gew.	6555,12
Reinigung	Modifikation			97 nmol	GC Gehalt	14 %
DNA-Typ	DNA			634 µg	Tm (GC)	34 °C
					ε (mM)	338,280
Zusammensetzung		A=6,0	C=0,0	G=3,0	T=1,0	Modi=11,0
Mod. 5'		Mod. 5 2'OMe-rU			Mod. 7 2'OMe-rC	
Mod. 3'		Mod. 6 2'OMe-rA			Mod. 8 2'OMe-rG	

EXHIBIT 2 of
EXHIBIT A

96 well Pten RNAi Transfection

Generic Cell Culture Assay For TaqMan Analysis
Assay: Pten RNAi's Date:

Day 1
• Seed 2 pieces of HelaB cells in 96 well TC plates w/ 2500 cells/well

Day 2
LIPID STOCKS: NC 388 1 µg/ml
• Make 2.0 µl of 10X lipid stock solution in Complementary Media

LIPID	1X	10X	ul stock	ul complementary media
	1.0	10	10	1980

COMPLEXES: RNAi 400nM 2.5 µl
• Prepare 10X GB complex in 96 well polypropylene U-bottom plate (400 µl each)

GB	1X	10X	ul stock	ul complementary media
	40	400	10	87

- Add 40 µl of 10X lipid to the wells
- Shake plate
- Complex in 37°C for 15-30 minutes
- Aspirate excess media from cells; add 40 µl of each media (no nucleocore)
- Add 10 µl per well of the complexes to each duplicate on 96 well plate
- Incubate in CO₂ incubator at 37°C for 24 hours

Day 3
• Aspirate media completely from wells; wash cells once with 100 µl RLT lysis buffer from Qiagen kit

A	10 AB																
B	10 AB																
C	10 AB																
D	10 AB																
E	10 AB																
F																	
G																	
H																	
A	94 AB																
B	94 AB																
C	94 AB																
D	94 AB																
E																	
F																	
G																	
H																	

40nM / 10nM / 5nM / 2.5nM

TaqMan 2x Pten / pMo

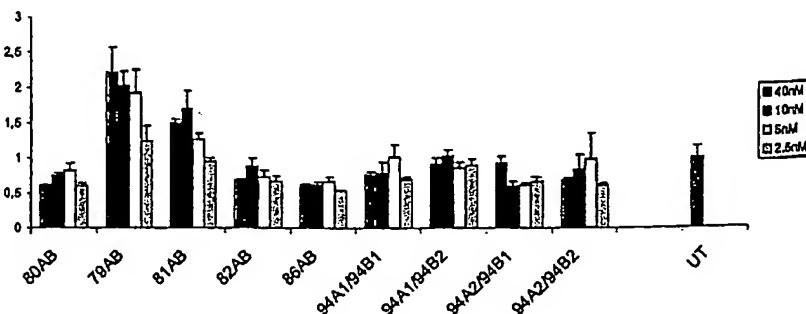
	1	2	3	4	5	6	7	8	9	10	11	12	
A													
B													
C													
D													
E													
F													
G													
H													

	1	2	3	4	5	6	7	8	9	10	11	12	
A													
B													
C													
D													
E													
F													
G													
H													

! Wiederholung d. Transfection erforderlich, da kein RNA Präp was
schief gelaufen ist

		2.5nM	
73	0.09806335	0.60995811	0.03763394
13	0.32695876	1.24788547	0.21298051
17	0.08411727	0.95747478	0.04783074
18	0.09528035	0.67125114	0.07874706
11	0.05932739	0.53462942	0.01397018
17	0.17560172	0.69505888	0.04158917
13	0.0818074	0.89987118	0.09701874
11	0.03013899	0.86374898	0.06892218
13	0.36815269	0.61253538	0.0346389
19	0.11221274		
13	0.23545446		

Pten RNAi's in HelaB
(2500c/w NC 388 1µg/ml)



Continued on Page

Read and Understood By

M. Techt
Signed

Date

Melanie Baker
Signed

Date

EXHIBIT B